

State Fiscal Recovery (SFR) Fund
Appropriation Funding Release
Process Map and Guidance

[Issued 9/9/21]

Table of Contents

Process Map – Funding Release for SFR Appropriations 1
Guidance - Funding Release for SFR Appropriations..... 2
Appendix 1 - FFP Review by Appropriation..... 3
 Appropriations That Require FFP Review..... 3
 Appropriations That Do Not Require FFP Review 7

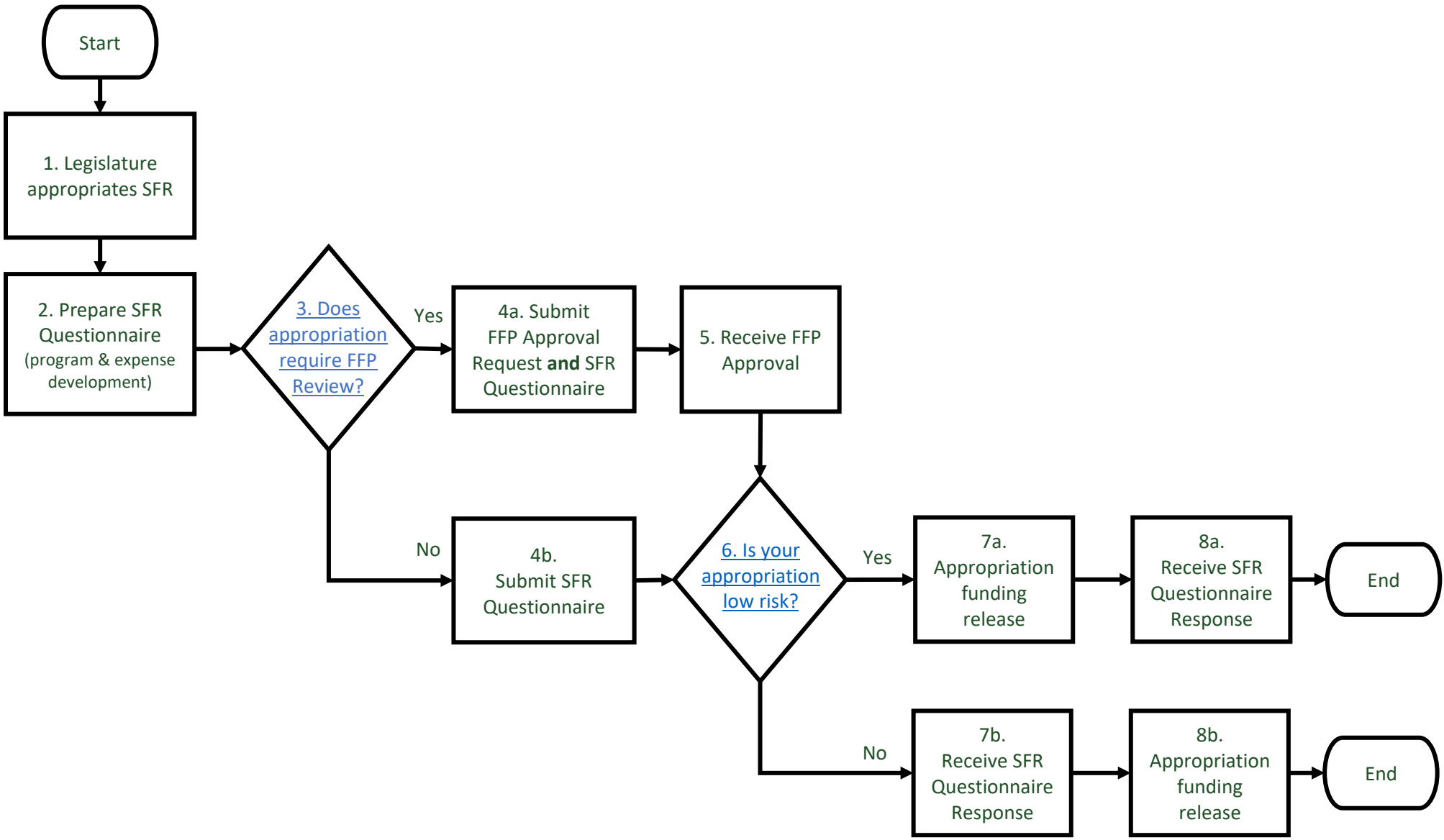
Attachments

- State of Vermont, Agency of Administration – SFR Questionnaire v5 (updated 8/13/21)
- FFP Approval Request

The SFR Process Map Outline and referenced attachments can be accessed through Agency of Administration’s [Covid-19 Finance Related Guidance](#)



Process Map – Funding Release for SFR Appropriations



Guidance - Funding Release for SFR Appropriations

1. **Legislature appropriates SFR.** State Legislature appropriates SFR to Vermont agencies and entities, outlining planned uses of funds.
2. **Prepare SFR Questionnaire (program & expense development).** Detail the use of SFR funds relating to appropriated program and/or expense by completing the *SFR Questionnaire* (template attached) with relevant information.
3. **Does appropriation require Federally Funded Projects Team (FFP) review?** Check *Appendix 1 – FFP Review by Appropriation* to determine whether your SFR appropriation requires FFP review.

IF APPROPRIATION REQUIRES FFP REVIEW

- 4a. **Submit FFP Approval Request and SFR Questionnaire.** Submit an *FFP Approval Request* (template attached) to the FFP Team and a completed *SFR Questionnaire* to the COVID-19 Financial Office (CFO) at ADM.COVID@vermont.gov.
5. **Receive FFP Approval.** Follow up with the FFP Team until your FFP Approval Request is approved.
6. **Is your appropriation low risk?** Check *Appendix 1-FFP Review by Appropriation* to determine appropriation risk level.

FOR LOW-RISK APPROPRIATIONS THAT REQUIRE FFP REVIEW

- 7a. **Appropriation funding release.** AOA will release funding for a low-risk appropriation if you received FFP Approval and submitted a SFR Questionnaire. While Vision establishes spending authority at an appropriation level, you can only use the portion of funds associated with projects outlined in the FFP Approval Request and authorized by the FFP.
- 8a. **Receive SFR Questionnaire Response.** The CFO will review the SFR Questionnaire and issue a response, outlining necessary program design modifications and/or risk mitigation plans to be accepted by your agency.

FOR MODERATE- AND HIGH-RISK APPROPRIATIONS THAT REQUIRE FFP REVIEW

- 7b. **Receive SFR Questionnaire Response.** For moderate and high-risk appropriations, AOA requires that a SFR Questionnaire is submitted and a questionnaire response is issued by the CFO and accepted by your agency first.
- 8b. **Appropriation funding release.** Funding can only be released once you (1) receive FFP Approval and (2) accept the SFR Questionnaire Response issued by the CFO.

IF APPROPRIATION DOES NOT REQUIRE FFP REVIEW

- 4b. **Submit SFR Questionnaire.** Submit a completed SFR Questionnaire to the CFO at ADM.COVID@vermont.gov.
6. **Is your appropriation low risk?** Check *Appendix 1-FFP Review by Appropriation* to determine appropriation risk level.

FOR LOW-RISK APPROPRIATIONS THAT DO NOT REQUIRE FFP REVIEW

- 7a. **Appropriation funding release.** AOA will release funding for the low-risk appropriation once you submit a SFR Questionnaire.
- 8a. **Receive SFR Questionnaire Response.** The CFO will review the SFR Questionnaire and issue a response, outlining necessary program design modifications and/or risk mitigation plans to be accepted by your agency.

FOR MODERATE- AND HIGH-RISK APPROPRIATIONS THAT DO NOT REQUIRE FFP REVIEW

- 7b. **Receive SFR Questionnaire Response.** For moderate and high-risk appropriations, AOA requires that a SFR Questionnaire is submitted and a questionnaire response is issued by the CFO and accepted by your agency first.
- 8b. **Appropriation funding release.** Funding can only be released once you accept the SFR Questionnaire Response issued by the CFO. While Vision establishes spending authority at an appropriation level, you can only use the portion of funds associated with programs and expenses outlined in your approved SFR Questionnaire.

Appendix 1 - FFP Review by Appropriation

Appropriations That Require FFP Review

#	Agency/Entity	Appropriation Purpose	Act Number	Section Number	Amount	Risk
1	Agency of Human Services - Department for Children & Families, Office of Economic Opportunity	DCF-OEO - Grants to Community Action agencies as part of EMBRACE - Micro Business Recovery	Act 74	G.300(a)(14)	\$2,000,000	Low
2	Agency of Commerce & Community Development	ACCD - Economic Recovery grants	Act 74	G.300(a)(13)	\$20,000,000	Low
3	Agency of Commerce and Community Development	ACCD - To provide Economic Recovery Bridge Program grants to eligible businesses	Act 9	3(b)(1)	\$10,000,000	Low
4	Agency of Human Services - Department for Children & Families, Office of Economic Opportunity	DCF-OEO - VT Microbusiness Development Funding	Act 9	3a	\$500,000	Low
5	Agency of Human Services - Department of Mental Health	DMH - Expand capacity and make mental health services housing/facilities more accessible, safe & compliant with ADA	Act 9	7	\$4,000,000	Low
6	Agency of Natural Resources - Department of Environmental Conservation	DEC - Funding for 3-acre stormwater permitting design and for flow restoration and construction support for entities	Act 74	G.700(a)(1)(A)	\$5,500,000	Low
7	Agency of Natural Resources - Department of Environmental Conservation	DEC - Funding for wastewater projects in underserved centers	Act 74	G.700(a)(2)(A)	\$8,000,000	Low

8	Agency of Natural Resources - Department of Environmental Conservation	DEC - Funding for financial assistance for pretreatment of toxic waste	Act 74	G.700(a)(2)(B)	\$2,000,000	Low
9	Agency of Natural Resources - Department of Environmental Conservation	DEC - Funding for municipal reduction or elimination of wet weather sewer overflows	Act 74	G.700(a)(3)	\$10,000,000	Low
10	Agency of Natural Resources - Department of Environmental Conservation	DEC - Funding as per Clean Water Board funding appropriation	Act 74	G.700(a)(6)(A)	\$20,000,000	Low
11	Agency of Natural Resources - Department of Forests, Parks & Recreation	FPR - Funding to support compliance with 3-acre stormwater rule	Act 74	G.700(a)(1)(B)	\$1,000,000	Low
12	Agency of Transportation	AOT - Funding for implementing 3-acre and flow restoration	Act 74	G.700(a)(1)(C)	\$3,500,000	Low
13	Department of Public Service	PSD - Funding for broadband projects	Act 74	G.500(a)	\$150,000,000	Low
14	Vermont Housing & Conservation Board	VHCB - Funding to increase shelter capacity and create housing for the housing-insecure	Act 74	G.400(a)(1)	\$64,000,000	Low
15	Agency of Administration	AOA - Grant for the VT Housing Finance Agency for housing weatherization support statewide	Act 74	G.600(a)(2)	\$9,000,000	Moderate
16	Agency of Commerce & Community Development	ACCD - Funding for the Vermont Housing Incentive Program (VHIP)	Act 74	G.400(a)(2)	\$5,000,000	Moderate

17	Agency of Commerce & Community Development - Department of Housing & Community Development	HCD - Funding for financial assistance for water system efficiency improvements for housing rehabilitation projects	Act 74	G.700(a)(4)(A)	\$750,000	Moderate
18	Agency of Human Services - Department for Children & Families, Office of Economic Opportunity	DCF-OEO - Funding for the Home Weatherization Assistance Program with up to \$150,000 for vermiculite remediation and home repair.	Act 74	G.600(a)(1)	\$4,000,000	Moderate
19	Agency of Natural Resources - Department of Environmental Conservation	DEC - Funding for loan forgiveness and financial assistance for residential and mobile home park water systems.	Act 74	G.700(a)(4)(B)	\$4,250,000	Moderate
20	Agency of Natural Resources - Department of Environmental Conservation	DEC - Funding for drinking water, sewer, and stormwater infrastructure projects, including dam safety improvements and CSO abatement	Act 74	G.700(a)(6)(B)	\$30,000,000	Moderate
21	Department of Public Service	PSD - Broadband infrastructure program	Act 9	21(b)	\$1,800,000	Moderate
22	Department of Public Service	PSD - Grants for Efficiency Vermont for weatherization services	Act 74	G.600(a)(3)	\$5,000,000	Moderate
23	Department of Public Service	PSD - Grants for Efficiency Vermont for workforce development initiatives and to support the expansion of NeighborWorks of Western Vermont's Heat Squad program.	Act 74	G.600(a)(4)	\$2,000,000	Moderate

24	Department of Public Service	PSD - Funding for Affordable Community-Scale Renewable Energy Program (\$10,000,000); Funding to be allocated by the Clean Energy Development Board (\$5,000,000); Funding to be approved by General Assembly in 2022 (\$5,000,000).	Act 74	G.600(a)(5)	\$20,000,000	Moderate
25	Agency of Commerce & Community Development - Department of Economic Development	DED - Funding for priority capital projects to attract and retain businesses	Act 74	G.300(a)(12)	\$10,580,000	High
26	Agency of Natural Resources - Department of Environmental Conservation	DEC - Funding for technical assistance for statewide hazard mitigation program	Act 74	G.700(a)(7)(B)	\$120,000	High
27	Department of Public Safety - Division of Emergency Management	DPS - Funding to establish a statewide flood hazard mitigation program with hazard mitigation matching funds and a State-level parcel buyout program	Act 74	G.700(a)(7)(A)	\$4,880,000	High

Appropriations That Do Not Require FFP Review

#	Agency/Entity	Appropriation Purpose	Act Number	Section Number	Amount	Risk
1	Agency of Administration	AOA - Funding for administration costs for ARPA funds	Act 74	G.801(a)	\$6,500,000	Low
2	Agency of Education	AOE - School Indoor Air Quality Grant Program	Act 9	15	\$15,000,000	Low
3	Agency of Human Services - Department of Disabilities, Aging & Independent Living	DAIL - Grants for adult day service providers for operating costs and COVID-19 costs	Act 74	G.300(a)(1)	\$5,000,000	Low
4	Agency of Human Services - Department of Mental Health	DMH - Funding for a pilot mobile crisis intervention program in Rutland	Act 74	G.300(a)(2)	\$600,000	Low
5	Agency of Natural Resources - Department of Environmental Conservation	DEC - Funding as per Clean Water Board funding appropriation	Act 74	G.700(a)(5)	\$10,000,000	Low
6	University of Vermont	UVM - Funding to offset the impact from level room and board fees	Act 74	G.300(a)(10)	\$2,200,000	Low
7	University of Vermont	UVM - Matching funds for research grant opportunities for COVID19	Act 74	G.300(a)(7)	\$1,000,000	Low
8	Vermont State Colleges	VSC - Practical Nurse Program: Administrative and start-up costs; incentive payments to students; tuition and fees	Act 9	17(a)	\$1,400,000	Low

9	Vermont State Colleges	VSC - Workforce Upskill jobs training program for Vermonters whose employability or employer has been impacted by the Coronavirus	Act 9	18(a)	\$3,000,000	Low
10	Vermont State Colleges	VSC - Funding for six credits/two courses for whose employment was impacted by COVID-19 since March 13, 2020	Act 74	G.300(a)(8)(A)	\$2,000,000	Low
11	Vermont State Colleges	VSC - Funding for degree completion scholarships for 30 credits for adult learners with a 2+ year gap in attendance and 40 credits earned	Act 74	G.300(a)(8)(B)	\$3,000,000	Low
12	Vermont State Colleges	VSC - Funding for deficits from revenue loss and increased operating expenses	Act 74	G.300(a)(9)	\$21,000,000	Low
13	Agency of Commerce & Community Development	ACCD - Funding for Salesforce grant management system upgrade	Act 74	G.501(a)(6)	\$1,000,000	Moderate
14	Agency of Digital Services	ADS - Funding for cybersecurity core infrastructure and router replacements	Act 74	G.501(a)(5)	\$1,500,000	Moderate
15	Agency of Education	AOE - Funding for license management and other data systems	Act 74	G.501(a)(11)	\$4,010,000	Moderate
16	Department of Labor	DOL - Funding to complete UI modernization and Joblink replacement	Act 74	G.501(a)(10)	\$4,500,000	Moderate
17	Department of State's Attorneys and Sheriffs	SAS - Funding for case management system software upgrade	Act 74	G.501(a)(7)	\$1,700,000	Moderate

18	Natural Resources Board	NRB - Funding for Act 250 scanning project	Act 74	G.501(a)(3)	\$500,000	Moderate
19	Office of the Defender General	DG - Funding to complete case management system upgrade	Act 74	G.501(a)(8)	\$140,000	Moderate
20	Secretary of State	SOS - Funding to complete Vermont Business portal	Act 74	G.501(a)(9)	\$250,000	Moderate
21	Vermont State Colleges	VSC - Funding for free last dollar tuition for 1yr of credit in critical occupation careers	Act 74	G.300(a)(8)(C)	\$5,000,000	Moderate
22	Agency of Natural Resources - Department of Environmental Conservation	DEC - Funding to complete Phase 2 of permit navigator portal	Act 74	G.501(a)(2)	\$1,100,000	High
23	Agency of Transportation - Department of Motor Vehicles	DMV - Funding to complete Phase 1 of DMV IT system replacement	Act 74	G.501(a)(1)	\$14,120,000	High
24	University of Vermont	UVM - Workforce Upskill jobs training program	Act 9	18(b)	\$1,000,000	High
25	Vermont Student Assistance Corporation	VSAC - HS Grad Advancement opportunity (up to two free classes)	Act 9	19	\$2,800,000	High